

DaVinci Financial Designs LLC (referred to as us, we, DaVinci and, with respect to your registered investment adviser, "Adviser"). We are registered with the Securities and Exchange Commission as an investment advisor firm. We have a network of advisers who offer a wide array of investment advisory services including but not limited to financial planning and asset management services.

This relationship summary will explain the various services we offer, how we charge for those services, and potential conflicts of interest that exist when we provide our services. To help you research firms and financial professionals, you can access free and simple tools at Investor.gov/crs, which also provides educational materials about broker dealers, investment advisers, and investing.

### **What investment services and advice can you provide me?**

Our advisors offer investment advisory services which include financial planning, investment advisory services, and a range of investment products such as stocks, bonds, mutual funds, exchange traded funds (ETF's), annuities, and alternative investments. Please note that the range of investment options available may differ depending on the licenses your adviser holds.

The account program your adviser offers you may have specific requirements or investment minimums. We encourage you to ask your adviser whether any investment limitations or account requirements apply. If your adviser offers you both advisory and brokerage services, your adviser will inform you when he or she offers an investment recommendation or advice and whether that is part of an advisory or brokerage service.

- There are key differences between advisory and brokerage services in which we can offer you to help with your investments. Please carefully consider which types of accounts and services below are right for you.

#### **Advisory Services**

- Your adviser will help develop a comprehensive financial plan taking in to consideration your financial goals, time horizon, and risk tolerance and then will design a strategy to reach your goals. A component of that plan can be an investment strategy that has a range of products such as wrap fee programs, non-wrap fee programs, mutual fund asset allocation programs, access to third party investment managers, and other custom advisory services.
- You will typically grant us discretion to buy and sell investments in your account without asking your permission in advance. You may limit our discretion by placing reasonable restrictions on purchasing certain types of securities. When choosing a third party manager, you will be granting discretion to that investment manager.
- Your adviser will monitor your accounts and specific investments on an on-going basis to ensure that they align with your investment goals. Typically your adviser will review your plan and investment strategy with you at least annually, unless your requested services are limited in scope.

#### **Brokerage Services**

- We can also offer you certain brokerage services through our affiliation with LPL Financial Services. All of our advisors are also registered representatives of LPL Financial Services and as such may also offer you certain brokerage services and investment products through that registered broker dealer. As a result, you will receive similar disclosure documents from LPL Financial Services relating to those brokerage services and products. You should understand the key differences between brokerage and investment advisory services.

#### **Questions To Ask Your Adviser**

- Given my financial situation should I choose an investment advisory service, a brokerage service, or both? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience including licenses, education, and qualifications?
- Help me to understand how fees affect my investments. If I give you \$10,000 to invest, how much goes to fees and costs?