



FACTS

Rev. 2/2020

Why?

WHAT DOES DAVINCI FINANCIAL DESIGNS, LLC DO WITH YOUR PERSONAL INFORMATION?

Financial companies choose how they share your personal information. Federal law gives clients the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information may include:

- Social Security
- Investment experience
- Income
- Account transactions
- Assets
- Retirement assets

How?

When you are *no longer* our client, we continue to share your information as described in this notice.

All financial companies need to share client personal information to run their everyday business. In the section below, we list the reasons financial companies can share their client personal information; the reasons DaVinci Financial Designs, LLC chooses to share; and whether you can limit this sharing.

| Reasons we can share your personal information | Does DaVinci Financial Designs, LLC share? | Can you limit this sharing? |
|---|--|-----------------------------|
| For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | Yes | No |
| For our marketing purposes— to offer our products and services to you | No | No |
| For our affiliates' everyday business purposes— information about your transactions and experiences | Yes | No |
| For nonaffiliates' to market to you or joint marketing with other financial companies— We do not share your information with any third parties for marketing purposes. However, as described above, we may share information with financial companies where necessary to service your accounts. | No | No |
| For clients of independent advisors and DaVinci Financial Designs, LLC— If your financial advisor terminates his or her relationship with us and moves to another brokerage or investment advisory firm ("New Firm") we or your financial advisor may disclose your personal information to the New Firm, unless you instruct us not to allow such disclosure. If you do not want us or your financial advisor to disclose your personal information to the New Firm, and if you do not want your financial advisor to retain copies of your personal information when your financial advisor terminates his or her relationship with us, you may request that we and/or your financial advisor limit the information that is shared with the New Firm by filling out the Privacy Choices Notice which is attached to this Privacy Notice | No | No |

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| <p>and mailing it to: DaVinci Financial Designs, LLC, c/o Compliance, 5301 N. Trenholm Road Suite A, Columbia, SC 29206. You can withdraw your opt-out choice at any time by contacting us in writing at the address we provided.</p> <p>If your primary address is in a state that requires your affirmative consent to share your personal information with the New Firm (such as California, Massachusetts, Maine, Alaska, New Mexico, North Dakota or Vermont), then you must give your written consent before we will allow your financial advisor to take any of your personal information to the New Firm.</p> <p>Please be aware that DaVinci Financial Designs, LLC has entered into the Protocol for Broker Recruiting (“Protocol”) with certain other brokerage firms, and if DaVinci Financial Designs, LLC remains a signatory to the Protocol as of the effective date of your advisor’s termination from DaVinci Financial Designs, LLC, then DaVinci Financial Designs, LLC will permit your financial advisor to take your name, address, phone number, email address, and the account title of the accounts services (or additional information as permitted if the Protocol is amended) while your financial advisor was associated with DaVinci Financial Designs, LLC if your advisor joins one of these Protocol brokerage firms.</p> | | |
| Who we are | | |
| Who is providing this notice? | DaVinci Financial Designs, LLC | |
| What we do | | |
| How does DaVinci Financial Designs, LLC protect my personal information? | <p>To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.</p> <p>We train our employees in proper handling of personal information. We require companies that help provide our services protect the confidentiality of your personal information they receive.</p> | |
| How does DaVinci Financial Designs, LLC collect my personal information? | <p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> • Open an account • Apply for insurance • Seek advice about your investments • Enter into an investment advisory agreement/account • Tell us about your investment or retirement portfolio <p>We also collect your personal information from others such as credit bureaus, affiliates or other companies.</p> | |
| Why can I not limit all sharing? | <p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> • sharing for affiliates’ everyday business purposes—information about your creditworthiness • affiliates from using your information to market to you • sharing with nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p> | |

| Definitions | |
|------------------------|--|
| Affiliates | Companies related by common ownership or control. They can be financial and nonfinancial companies. |
| Nonaffiliates | Companies not related by common ownership or control. They can be financial and nonfinancial companies. |
| Joint Marketing | A formal agreement between nonaffiliated financial companies that together market financial products or services to you. |

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| Questions? | Call 803-741-0134 or go to www.DaVinciFinancialDesigns.com |
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Securities offered through LPL Financial, member FINRA/SIPC